Navia markets ltd.

tradeplus
Infinite Possibilities

Referred by client code: 77867867 (T.A.Vijey)

TRADING ACCOUNT OPENING FORM

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QUICK CHECK LIST

☐	Print 10 Pages (4th Page to 13th page) and affix 11 signatures
☐	Paste Passport size Photograph on Page 4 and sign across
☐	Sign all areas highlighted with '☞' symbol
☐	Enclose Photocopy of PANCARD and address Proof with self attestation
☐	Enclose Cancelled cheque leaf to map your Primary / Secondary bank account. Cheque leaf should carry the IFSC code of your bank and your Name. If not, provide last 1 month bank statement with MICR No. & IFSC code printed on the statement with Bank's logo
☐	Enclose Account Opening coupon or write the coupon code on top of Page 4

NAVIA MARKETS LTD

REGISTERED OFFICE ADDRESS	CORRESPONDENCE OFFICE ADDRESS
"Ganga Griha" ,4th & 5th Floor No.9, Nungambakkam High Road, Chennai - 600 034. Ph: 1800-425-75757 www.tradeplusonline.com	"Ganga Griha" ,4th & 5th Floor No.9, Nungambakkam High Road, Chennai - 600 034. Ph: 1800-425-75757 www.tradeplusonline.com / open@tradeplusonline.com
COMPLIANCE OFFICER DETAILS	**SEBI REGISTRATION NUMBERS**
S Krishnamurthy Ph: +91-44-39189449 compliance@naviamarkets.com	NSE CM / F&O / CD :INB / F/ E230770833 BSE CM / F&O : INB / F010770833
CEO DETAILS	**CLEARING MEMBER DETAILS**
S K Hozefa Ph: +91-44-39189449 ceo@naviamarkets.com	Name : IL&FS Securities Services Ltd NSE F&O : INF231133630 BSE F&O : INF011133834 NSE CD : INE231308334

For any grievance / dispute , please contact Navia Markets Ltd at the above address and / or email id : Support@tradeplusonline.com and Phone No: +91-44-28214171. In case, if you are not satisfied with the response, please contact the concerned exchange (s) at National Stock Exchange of India Ltd at (ignse@nse.co. in and Phone No : +91 - 22 - 26598190) and BSE Ltd, at (is@bseindia .com and Phone No : +91 - 22 - 22728097)

We are not engaged in Proprietary Trading

Referred by client code: 77867867 (T.A.Vijey)

"TRADEPLUS" is the online brand of Navia Markets Ltd

INSTRUCTION FOR FILLING THIS KYC FORM

SECTION A	IMPORTANT POINTS

1. Self attested copy of PAN card is mandatory for all clients, including Promoters/Partners/Karta/Trustees and whole time directors and persons authorized to deal in securities on behalf of company/firm/others.
2. Copies of all the documents submitted by the applicant should be self attested and accompanied by originals for verification . In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorised for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a regional/foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC Form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different , then proof s for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity
7. For Non Residents and Foreign Nationals (allowed to trade subject to RBI and FEMA Guidelines), copy of Passport/ PIO card/OCI card and overseas address proof is mandatory
8. For Foreign entities CIN is optional ; and in the absence of DIN No. for the directors , their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner 's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository Participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark Sheet issued by Higher Secondary Board/Passport of Minor / Birth Certificate must be Provided.
11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country , e.g ., Heads of States or of Governments, senior politicians , senior Government /judicial/ military officers, senior executives of state owned corporations, important political party officials, etc

SECTION B	PROOF OF IDENTITY (POI) - LIST OF DOCUMENTS ADMISSIBLE AS PROOF OF IDENTITY

1. Unique Identification Number (UID) Aadhaar/ Passport/Voter ID card/Driving license.
2. PAN card with photograph
3. Identity card/document with applicant's photo issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, BCouncil etc., to their Members; and Credit cards/ Debit cards issued by Banks

SECTION C	PROOF OF ADDRESS (POA) - LIST OF DOCUMENTS ADMISSIBLE AS PROOF OF ADDRESS (Note : Documents having an expiry date should be valid on the date of submission)

1. Aadhaar/ Passport /Voters Identity card/ Ration card / Registered Lease or Sale Agreement of Residence/ Driving License/ Flat Maintenance bill/Insurance copy.
2. Utility bills like Telephone Bill (Only Land Line), Electricity bill or Gas bill - Not more than 3 months old
3. Bank Account Statement /Passbook - Not more than 3months old.
4. Self declaration by High Court and Supreme Court Judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co Operative Bank/Multinational Foreign Banks/Gazetted Officer/Notary public/elected representatives to the Legislative Assembly/Parliament / Documents issued by any Govt. or Statutory Authority.
6. Identity card/document with address, issued by any of the following: Central/State Government and its Departments Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI,ICWAI,ICSI, Bar Council etc., to their Members.
7. For FII/Sub account, Power of Attorney given by FII/Sub-Account to the coustodians (which are duly notarized and or apostiled or consularised) that gives the registered address should be taken.
8. The proof of address in the name of the spouse may be accepted.

SECTION D	EXEMPTIONS / CLARIFICATIONS TO PAN

(Note: Sufficient documentary evidence in support of such claims to be collected.)

1. In case of transactions undertaken on behalf of Central Government and /or state Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the State of Sikkim (subject to the continued exemption granted by Government)
3. UN Entities/ Multilateral agencies exempted from paying taxes / filing tax returns in India.
4. SIP of Mutual Funds up to ₹ 50,000/-p.a.
5. In case of institutional client, namely, FIIs, MFs, VCFs, FVCIs, Scheduled Commercial Bank, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN Card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary

SECTION E	LIST OF PEOPLE AUTHORISED TO ATTEST THE DOCUMENTS

1. Notary Pubilc, Gazetted Officer, Manager of a Scheduled Commercial/ Co-operative Bank or Multinational Foreign Banks(Name, Designation & Seal should be affixed on the copy).

2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy /Consulate General in the country where the client resides are permitted to attest the documents.

SECTION F	ADDITIONAL DOCUMENTS IN CASE OF TRADING IN DERIVATIVES SEGMENT - ANY ONE

In respect of other clients, documents as per risk management policy of the stock broker need to be provided by the client from time to time. (All document should be self attested by the client)

1. Copy of ITR Acknowledgement
2. Copy of Annual Accounts
3. Copy of Form 16 in case of Salary Income
4. Net worth Certificate
5. Salary Slip - Last three months
6. Copy of Demat Account Holding Statement
7. Bank Account statement for last 6 Months
8. Self Declaration with relevant supporting documents
9. Any other relevant documents substantiating ownership of Assets.

SECTION G	ADDITIONAL DOCUMENTS

Apart from the above documents, the following documents are mandatory to open a trading account

1. Proof of Bank account Number of the constituent: Copy of cancelled cheque or Bank Pass Book or Bank Statement not later than last three months. Please ensure the name of the constituent, MICR Code and IFSC code of the bank available in the proof.

2. DP Client Master or Recent Holding Statement issued by DP bearing Name of the Client in the statement.

SECTION H	KRA REGISTRATION

If you have already processed your identity using a KYC System with any broker, depository participant or other registered intermediary in India, you are exempted from the following:

a) Providing Proof of Identity
b) Providing Proof of Address

Please ensure that your address and identity detail which was registered by the intermediary is up to date. If you feel that it may be incorrect, or you have updated your address in the last 12 months, please provide the above proofs to ensure that your account is opened on time. You can check if you are in the KRA System by visiting and entering your PAN number.

CAMSKRA - https://camskra.com/KARVYKRA - http://www.karvykra.com/CDSLKRA - https://www.cvlkra.com
NSEKRA - https: // www.nsekra.com/Launch/kra_index.aspx NDMLKRA - https://kra.ndml.in

Referred by client:77867867

KNOW YOUR CLIENT (KYC) APPLICATION FORM (For Individuals)
NAVIA MARKETS LTD - " TRADEPLUS" TRADING APPLICATION FORM
We are not engaged In Proprietary Trading

(Please fill the form in ENGLISH and in BLOCK Letters)

APPLICATION NUMBER	COUPON CODE

☞ Please affix your recent PASSPORT size Photograph and sign across it (1/11)

SECTION A	IDENTITY DETAILS
NAME OF THE APPLICANT (as per PAN CARD/Proof of ID)	
Father's/ Spouse Name	

GENDER ☐ MALE ☐ FEMALE | MARITAL STATUS ☐ SINGLE ☐ MARRIED

STATUS ☐ RESIDENT INDIVIDUAL ☐ NON - RESIDENT ☐ FOREIGN NATIONAL (passport copy mandatory)

NATIONALITY	DATE OF BIRTH (DD/MM/YYYY)	PAN CARD NUMBER

UNIQUE IDENTIFICATION NUMBER (UID/ Aadhaar) If available	
Specify the Proof of Identity Submitted	

SECTION B	ADDRESS DETAILS

ADDRESS FOR CORRESPONDENCE (Must be different from proof of Identity):

CITY / TOWN / VILLAGE	PIN CODE	STATE	COUNTRY

CONTACT DETAILS	Tel (off)	Tel (Res)	Mobile No.	Fax No.

EMAIL ID (must be written in capital letter)	
Specify the Proof of address Submitted for correspondence address	

PERMANENT ADDRESS (If different from above or Overseas Address mandatory for Non - Resident Applicant):

CITY / TOWN / VILLAGE	PIN CODE	STATE	COUNTRY

Specify the Proof of address Submitted for Permanent address if any

DECLARATION

I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any change therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.

PLACE : _____ DATE (DD /MM /YYYY)

☞ SIGNATURE OF THE APPLICANT (2/11)

FOR OFFICE USE ONLY

☐ Originals Verified and Self - Attested Document Copies Received

SEAL/STAMP OF THE INTERMEDIARY

Name :

Authorized Signatory

DATE (DD /MM /YYYY)

Referred by client code:77867867

TRADING ACCOUNT RELATED DETAILS

SECTION A	BANK DETAILS		
PRIMARY BANK NAME			
BRANCH ADDRESS			
BANK ACCOUNT NO.			
ACCOUNT TYPE	☐ SAVINGS	☐ CURRENT	☐ OTHERS - Incase of NRI/NRE/NRO
	MICR NUMBER:	IFSC CODE:	
PIS ACCOUNT NO:		RBI REF NO:	
SECONDARY BANK NAME			
BRANCH ADDRESS			
BANK ACCOUNT NO.			
ACCOUNT TYPE	☐ SAVINGS	☐ CURRENT	☐ OTHERS - Incase of NRI/NRE/NRO
	MICR NUMBER:	IFSC CODE:	

SECTION B	DEPOSITORY ACCOUNT (S) DETAILS	
DEPOSITORY PARTICIPANT NAME		
DEPOSITORY NAME (NSDL /CDSL) :		DP ID :
BENEFICIARY NAME:		BENEFICIARY ID (BO ID)

SECTION C	OTHER DETAILS
GROSS ANNUAL INCOME DETAILS (Please specify) or	☐ Below ₹ 1 lac ☐ ₹ 1 - 5Lac ☐ ₹ 5 - 10Lac ☐ ₹ 10 - 25Lac ☐ >₹ 25Lac (Income Range per annum)
Net - worth as on (date) _____	₹ (Should not be older than 1year)
OCCUPATION (Please tick any one and give brief details)	☐ Private Sector ☐ Public Sector ☐ Government Service ☐ Retired ☐ Business ☐ Professional ☐ Agriculturist ☐ House Wife ☐ Student ☐ Others (Specify)_____
AFFILIATION (Please tick as applicable)	☐ Politically Exposed Person (PEP) ☐ Related to Politically Exposed Person (PEP)

SECTION D — TRADING PREFERENCES

Please sign in the relevant boxes where you wish to trade. The segment not chosen should be struck off by the client. if in future, the client wants to trade on any new segment / new exchange, separate authorization/ letter should be taken from the client by the stock broker.

EXCHANGES		SEGMENTS	
1	National Stock Exchange of India Ltd (NSE)	CM Segment (Equity)	Sign(3a/11) ☞
		Equity Derivative Segment (F&O)	Sign(3b/11) ☞
		Currency Derivative Segment (CD)	Sign(3c/11) ☞
		Mutual Fund Scheme System (MFSS)	Sign(3d/11) ☞
2	Bombay Stock Exchange of India Ltd (BSE)	CM Segment (Equity)	Sign(3e/11) ☞
		Equity Derivative Segment (F&O)	Sign(3f/11) ☞
		Currency Derivative Segment (CD)	Sign(3g/11) ☞
		BSE STAR MUTUAL FUND SYSTEM	Sign(3h/11) ☞

SECTION E — PAST ACTIONS

Details of any action /Proceedings initiated / pending/ taken by SEBI /Stock Exchange(s) / any other authority against the applicant / constituent or its partners/ promoters / Whole Time Directors /Authorized Persons in charge of dealing in securities during the last 3years:

Referred by client code:77867867

SECTION F	DEALING THROUGH SUB- BROKERS AND OTHER STOCK BROKERS (If client is dealing through any other Sub-Broker , Provide the following details)	
Sub-Broker Name	**SEBI Reg No.**	**Phone No:**
Registered Office Address:		**Fax:**
		Website:

Whether dealing with any other Stock broker /Sub-Broker (in case dealing with multiple stock brokers /sub broker, Provide details of all)

Name of Stock Broker	Name of Sub-Broker	Client Code :
Exchange	Details of disputes / dues pending from/ to Stock Broker/ Sub- Brocker	

SECTION G	ADDITIONAL DETAILS
Whether you wish to receive Physical Contract Note ☐ or Electronic Contract Note (ECN) ☐ (Please Specify)	Email ID : If you wish to receive ECN (write in capital letters)
Whether you wish to avail facility of the internet trading / Wireless Trading (Please specify)	
Number of Years of Investment / Trading Experience:	Any other Information:

In case of non-individuals, name designation, PAN, UID, Signature, Residential Address and Photographs of persons authorized to deal in securities on behalf of company / firm / others:

SECTION H	INTRODUCER DETAILS (optional)
Name of the introducer T.A.Vijey Client code:77867867	Status of Introducer ☐ Sub-Broker ☐ Remisier ☐ Authorised Person ☑ Existing Client ☐ Others (please specify)
Address and phone no of the introducer	
Signature of Introducer	

SECTION I	NOMINATION DETAILS (for individuals only)
☐ **I wish to Nominate**	☐ **I do not wish to nominate**
Name of the Nominee	
Relationship with the Nominee	
PAN of Nominee	
Date of Birth of Nominee	
Address and Phone no. of the Nominee	

IF NOMINEE IS A MINOR, DETAILS OF GUARDIAN

Name of the Guardian	
Address and Phone No. of the Guardian	
Signature of the Guardian	

PRIMARY WITNESS		SECONDARY WITNESS	
Name		**Name**	
Signature		**Signature**	
Address		**Address**	

FATCA (Foreign Account Tax compliance Act) Declaration

Place of birth		**Country of birth**	
Is your country of Tax Residency other than India		**YES** ☐ **NO** ☐	

If yes, please specify the details of all countries where you hold tax residency and its TAX Identification Number & Type

S.No.	Country of Tax Residency #	Tax Payer Identification Number / Functional Equivalent	Identification Type TIN or other please specify
1			
2			
3			

 # to include all countries other than India, where investor is Citizen/Resident/Green Card Holder /Tax Resident in those respective countries especially of USA.

DECLARATION

1. I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any change therein, immediately . In case any of the above information is found to be false or untrue or misleading or misrepresenting , I am aware that I may be held liable for it.
2. I confirm having read/been explained and understood the contents of the document on Policy and Procedures of the Stock Broker and the tariff sheet.
3. I further confirm having read and understood the contents of the 'Rights and Obligations' document(s), Risk Disclosure Document'. I do hereby agree to be bound by such provisions as outlined in these documents. I have also been informed that the standard set of documents has been displayed for Information on stock broker's designated website, if any

PLACE: **DATE:** (DD/ MM/ YYYY) ☞ **SIGNATURE OF CLIENT (4/11)**

FOR OFFICE USE ONLY

UCC CODE ALLOTTED TO THE CLIENT	

	Documents Verified with Originals	**Client Interviewed by**	**In-Person Verification done by**
Name of the Employee			
Employee Code			
Designation of the Employee			
Signature			
Date			

We undertake that, we have made the client aware of 'Policy and Procedures', tariff sheet and all the non-mandatory documents. We have also made the client aware of 'Rights and Obligations' document (s), RDD, and Guidance Note. We have given/sent him a copy of all the KYC documents. We undertake that any change in the 'Policy and Procedures', tariff sheet and all the non mandatory documents would be duly intimated to the clients. We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on our website, if any, for the information of the clients.

For Navia Markets Limited

DATE: (DD /MM /YYYY)

Seal/Stamp of the Stock Broker **Authorized Signatory**

TARIFF SHEET

I would like to subscribe to the Navia Markets Ltd Trading plans and request Navia Markets Ltd to apply any applicable monthly subscription tariff as per the terms and conditions of Tradeplus Infinity Plan of Navia Markets Ltd. I hereby authorize Navia MarketsLtd to debit my Subscription Management Portal (SMP) ledger for the subscription amount as per terms and conditions of TRADEPLUS INFINITY PLAN.

EQUITY SEGMENT : NSE & BSE		
Delivery Brokerage	Resident clients: 0.1% with minimum of 1 paisa whichever is higher NRI Clients: 0.5% with minimum of 1 paisa whichever is higher	
Intraday Brokerage	0.01% with minimum of 1 paisa whichever is higher.	
EQUITY DERIVATIVE SEGMENT : NSE & BSE		
Future Segments	☐ Infinity Futures Plan- ₹ 799/- per month	☐ Default Brokerage 0.01% minimum of 1paisa whichever is higher
Options Segment	☐ Infinity Options Plan- ₹ 99/- per month	☐ Default Brokerage ₹ 60- per lot
CURRENCY DERIVATIVE: NSE & BSE		
Currency F&O Segment	☐ Infinity Currency Plan- ₹ 99/- per month	☐ Default Brokerage ₹ 20- per lot

STATUTORY CHARGES

In addition to the above monthly subscription charges, the following statutory charges will also be levied

❖ Security Transaction Tax	❖ Stamp Duty
❖ Transaction charges	❖ Education and Higher education Cess
❖ Service Tax	❖ SEBI Turnover charges

- Electronic Contract Notes (ECN) is free. Physical Contract Note copies are charged at ₹ 50/- per contract note.
- Call and Trade Charges, Trade alert SMS and other charges as per terms and conditions mentioned in the subscription management portal.
- Depository participant charges as per Rate card of DP.
- Auction/Closed Out process as per Policy and Procedures. Penalty charges on Auction/ Closed out 1% on the value.
  Delayed Payment Charges 24% p.a. – Monthly basis will be charged.
- Cheque Bounce Charges – 1% of cheque value subject to minimum of ₹ 250/- and maximum of ₹1000/-
  Modification charges- ₹100/- for every address details modification including bank updates etc.,
  Terms and conditions can be subject to change.

This terms and conditions of the Navia Markets Ltd plans have been read and understood by me. I wish to avail the plans provided by Navia Markets Ltd for my Trading account subject to the terms and conditions mentioned above. I declare that I have read and understood the terms and Conditions governing the Navia Markets Ltd TRADEPLUS INFINITY PLAN and shall agree and abide by the same.

CLIENT'S NAME CLIENT'S SIGNATURE (5/11)☞	
DATE (DD/MM/YY)	

ACKNOWLEDGEMENT

To,
Navia Markets Ltd
"Ganga Griha" 4th and 5th Floor,
No.9 Nungambakkam High Road,
Chennai – 600 034.

Deae Sir/ Madam,
I hereby acknowledge receipt of the following documents:

1.Rights and Obligations of Stock Broker/Trading Member, Sub-Brokers and Client.
2.Risk Disclosure Document for Capital Market and Derivative Segments,
3.Guidence Note- Do's and Don'ts for Trading on the Exchange (s) for investors
4.Internet and wireless Technology based Trading facility provided by Stock Broker to clients.
5. Policies and procedure pursuant to the SEBI circular dated December 03, 2009.
6. Additional policies and procedure
7. Terms and conditions of Subscription Management Portal (SMP)
8. Authorization for Running account settlement.
9. Tariff sheet.

I understand that the voluntary documents executed by me are out of my own free will. I hereby confirm that I have clearly understood and agree to abide by the Terms and Conditions described by Navia Markets Ltd . I also understand that these Terms and Conditions can be changed by Navia Markets Ltd from time to time with prior notice of 7 days and subject to posting of the amendments and modifications therein on its website and their applicability with prospective effect.

I state that I have read and understood all above documents including the Terms and Conditions governing broking services of Navia Markets Ltd and agree to abide by the same.

CLIENT'S NAME	
CLIENT'S SIGNATURE (6/11) ☞	**Date: (DD/MM/YYYY)**

My Trade Plus Account My Number

MY ACCOUNT MY NUMBER is a unique proposition which gives the freedom to choose the number of your choice for the account open by you. The chosen account number may be a specific pattern, a lucky number favorite combination or just something simple to remember. Your Trade Plus account number is 8 digit number of which you can select 8 digit basis your preference OR choose the desired SUM of digits. Please give us your 3 preference

1.Preference 1:_____

2.Preference 2:_____

3.Preference 3:_____

Note: The MY ACCOUNT MY NUMBER facility needs to be availed at the time of account opening only. Not after that. Some fancy numbers have pricing. For more details on fancy numbers and pricing please visit https ://www.tradeplusonline.com/kyc-fancy-numbers.aspx If all the 3 preference numbers are not available/used then we reserve the right to all ot a number of our choice. If you have chosen a fancy number but have not purchased the same, then we will allot a number of our choice.

AUTHORIZATION FOR RUNNING ACCOUNT

To,
Navia Markets Ltd
"Ganga Griha" 4th and 5th Floor,
No.9 Nungambakkam High Road,
Chennai – 600 034

I am a registered client with you, and am dealing in Capital Market/Derivative Markets, and have a Navia Markets Ltd client code as mentioned below.

I am aware that payout/dividend received/credited from the exchange(s) against settlement of transactions as per settlement cycle is available within the prescribed time of the payout from the Exchange. It is difficult for me to make available the required funds on every pay-in of funds obligation/ margin obligation. Hence, I request you to maintain my account on a running basis and retain the payout received/ credit balance in my account for my future obligation/ margin obligation or other liabilities unless I instruct otherwise. Further, I hereby authorized you to debit/ credit / transfer the amounts between the various segments either on the same exchange and /or exchanges to meet my obligation or various dues payable to you/Exchange(s).

If payment of funds is required, I shall request you in writing or through the web option for funds withdrawal on the web login. Further, it may be noted that if required I may revoke this authorization at any time after giving request in writing. This running account authorization would continue until it is revoked by me. The actual settlement of funds shall be done at least once in a quarter/month if the balance available in my account is more than ₹10,000/- and settlement will happen on the balance amount after retaining ₹ 10,000/- in my trading account.

I/We hereby authorize you to debit charges for depository services or other advisory services, to my/our trading account.

I/We hereby authorize you to transfer the funds between segments / between exchanges enrolled by me / us (Equity Futures & Options , Currency Futures and if any segment introduced by NSE / BSE and signed opted for the specific segment) .

While settling the account having outstanding obligations on the settlement date, you may retain the requisite funds towards such obligations and may also retain the funds expected to be required to meet margin obligations for next 5 trading days , calculated in the manner specified by the exchanges.

I shall bring any dispute arising from the statement of account or settlement so made to your notice within 7 working days from the date of receipt of funds or statement, as the case may be. In case of non-receipt of any such communication the statement/ settlement of running account shall be considered as final as agreed and accepted by me.

Your's faithfully,

CLIENT'S NAME		**CLIENT CODE : (UCC)**
CLIENT'S SIGNATURE (7/11) ☞		**Date: (DD/MM/YYYY)**

AUTHORIZATION FOR ACCOUNT MODIFICATION

If I were to add/modify/remove any of my details including brokerage plans with Navia Markets Ltd, I authorize you to carry it out based on my request sent through an email to support@tradeplusonline,com from my registered email address or intimation through an interface provided by you , whereto I have been allowed secured access. If you feel the need to do so, then at your own discretion, you may put in place appropriate mechanism to confirm the request before or after its execution by way of a call from recorded line, or otherwise, personal meeting, SMS or other such other mode as you may deem fit.

CLIENT'S NAME		
CLIENT'S SIGNATURE (8/11)☞		**Date: (DD/MM/YYYY)**

Referred by client:77867867

INTERNET & WIRELESS TECHNOLOGY BASED TRADING FACILITY PROVIDED BY STOCK BROKERS TO CLIENT

(All the clauses mentioned in the 'Rights and Obligations' document(s) shall be applicable, additionally, the clauses mentioned herein shall also be applicable)

1. Stock broker is eligible for providing Internet Based Trading (IBT) and securities trading through the use of wireless technology that shall include the use of devices such as mobile phone, laptop with data card, etc. which use Internet Protocol (IP) . The stock broker shall comply with all requirements applicable to internet based trading / securities trading using wireless technology as may be specified by SEBI & the Exchanges from time to time.

2. The client is desirous of investing /trading in securities and for this purpose, the client is desirous of using either the internet based trading facility or the facility for securities trading through use of wireless technology. The Stock broker shall provide the Stock broker's IBT Service to the Client, and the Client shall avail of the Stock broker's IBT Service, on and subject to SEBI /Exchanges Provisions and the terms and conditions specified on the Stock Broker's IBT Web Site provided that they are in line with the norms prescribed by Exchanges/SEBI.

3. The stock broker shall bring to the notice of client the features, risks, responsibilities, obligations and liabilities associated with securities trading through wireless technology/internet/smart order routing or any other technology should be brought to the notice of the client by the stock broker.

4. The stock broker shall make the client aware that the Stock Broker's IBT system itself generates the initial password and its password policy as stipulated in line with norms prescribed by Exchanges/SEBI.

5. The Client shall be responsible for keeping the Username and password confidential and secure and shall be solely responsible for all orders entered and transactions done by any person whosoever through the Stock broker's IBT System using the Client's Username and/or Password whether or not such person was authorized to do so. Also the client is aware that authentication technologies and strict security measures are required for the internet trading/securities trading through wireless technology through order routed system and undertakes to ensure that the password of the client and/or his authorized representative are not revealed to any third party including employees and dealers of the stock broker.

6. The Client shall immediately notify the Stock broker in writing if he/she forgets his password, discovers security flaw in Stock Broker's IBT System, Discovers/suspects discrepancies/ unauthorized access through his username/password/account with full details of such unauthorized use, the date, the manner and the transactions effected pursuant to such unauthorized use, etc.

7. The Client is fully aware of and understands the risk associated with availing of a service for routing orders over the internet/securities trading through wireless technology and Client shall be fully liable and responsible for any and all acts done in the Client's Username/password in any manner whatsoever.

8. The stock broker shall send the order/trade confirmation through email to the client at his request. The client is aware that the order/trade confirmation is also provided on the web portal. In case client is trading using wireless technology, the stock broker shall send the order/trade confirmation on the device of the client.

9. The client is aware that trading over the internet involves many uncertain factors and complex hardware, software. Systems, communication lines, peripherals, etc. are susceptible to interruptions and dislocations. The Stock broker and the Exchange do not make any representation or warranty that the Stock broker's IBT Service will be available to the Client at all times without any interruption.

10. The Client shall not have any claim against the Exchange or the Stock broker on account of any suspension, interruption, non-availability or malfunctioning of the Stock broker's IBT system or Service or the Exchange's service or systems or non-execution of his orders due to any link/system failure at the Client/Stock brokers/Exchange end for any reason beyond the control of the stock broker/Exchanges.

CLIENT'S NAME		
CLIENT'S SIGNATURE (9/11) ☞		**Date: (DD/MM/YYYY)**

Consent for Receiving Statement of Accounts and other Reports through Email

I hereby authorize Navia Markets Ltd to issue Statement of Accounts, Margin Statements and other reports to my email id based on my consent. Further, I will intimate any change in my email id immediately to Navia Markets Ltd through letter or other modes prescribed. Further, I hereby confirm that non- receipt of bounced mail notification shall amount to delivery of the statement of accounts, margin statements and other reports at the email id provided below. I hereby confirm my email id as : _____

☞ _____
Client's Signature (10/11)

Referred by client:77867867

Mandate instruction Form for NACH DEBIT

tradeplus
Infinite Possibilities

UMRN | Date

Tick( )
CREATE
MODIFY
CANCEL

Sponsor Bank code: INDB0000098 | Utility Code: INDB00459000027590

I/ We hereby authorize: NAVIA MARKETS LTD to debit (tick) SB/CA / CC/ SB - NRE / SB - NRO / OTHERS

Bank A/c number

With Bank | IFSC | or MICR

an amount of rupees | ₹

FREQUENCY ☐ Monthly ☐ Qtly ☐ H-Yrly ☐ Yrly ☑ As & when presented

DEBIT TYPE ☐ Fixed Amount ☑ Maximum Amount

Reference 1 | Phone No.

Reference 2 | Email ID

PERIOD

From

To

Or ☑ Until Cancelled

☞(11/11) Signature primary Account holder | Signature primary Account holder | Signature primary Account holder

Name as in bank records | Name as in bank records | Name as in bank records

I/We hereby Declare that the particulars given on this mandate are correct and complete . If the transaction is delayed or not effected all for reasons of incomplete or incorrect informations . I/We would not hold this utility / service provider/ participating Bank responsible . I/We have read the option invitation letter and agree to dischange the responsibility expected of me/ us as participant/ under the scheme. I/We authorize use of above mentioned contact details for the purpose of this specific mandate instruction processing .I/We hereby confirm adherence to terms on this mandate.

1. Tradeplus will use the automatic debit mandate (ECS / Direct Debit Mandate)provided by the investor to make debits from the investor's bank account towards making investments selected and instructed by the investor through electronic or other means. Tradeplus hereby declares and assures the Investors (Client) that the funds obtained thereof , will not be used for any purpose other than what the investor intended the mandate for, by way of clear and explid't investment instruction and authorization through electronic or other means.

2. The Mandate should be printed in an A4 size paper only

3. The customer only needs to sign the form . The customer should not fill any other field . The same will be done at our end.

THIS FORM IS IMPORTANT

This one-time bank mandate form will complete your paper work and allow you to set up any number of SIPs with your Tradeplus account .

We will use this mandate to debit your bank account ONLY when you instruct us to do so. Also, the amount of the debit will be EXACTLY as per your instruction. This is a one – time process with your mandate being valid for life.

Your one-time mandate will be registered with your bank within 14 days. However, you can start setting up your SIPs right now. Your investments will begin as soon as the registration is completed.

Referred by client:77867867